

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
12088/019001

In Re Application Of: Shinichi KAWASAKI, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
	[Herewith]		22511		

Title: PLASMA FILM FORMING SYSTEM

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

22511

PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION ON DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

RECEIVED 28 JUN 2004
Docket No.

12088/019001

In Re Application: Shinichi KAWASAKI, et al.

Application No.	Filing Date [Herewith]	Examiner	Customer No. 22511	Group Art Unit	Confirmation No.
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PLASMA FILM FORMING SYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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10/500317

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Applicant(s): Shinichi KAWASAKI, et al.				12088/019001	
Application No.	Filing Date [Herewith]	Examiner	Customer No. 22511	Group Art Unit	

Invention: **PLASMA FILM FORMING SYSTEM**

I hereby certify that the following correspondence:

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(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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INFORMATION DISCLOSURE SECTION

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ATTY DOCKET NO.

12088/019001

APPLICATION NO.

107500317

APPLICANT(S)

Shinichi KAWASKI, et al.

FILING DATE

[Herewith]

GROUP ART UNIT

Dist Rec'd PCT/PTO 28 JUN 2004

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	6,429,400 B1	08/06/2002	Sawada et al.	219	121.52	Sept. 22, 2000

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	A2	3-219082	09/26/1991	Japan	C23C	16/50		✓
	A3	2002-158219	05/31/2002	Japan	H01L	21/31		✓
	A4	2002-80970	03/22/2002	Japan	C23C	16/509		✓
	A5	2000-178744	06/27/2000	Japan	C23C	16/50		✓
	65	7-29827	01/31/1995	Japan	H01L	21/205		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A7	Patent Abstracts of Japan, publication no. 11-236676, publication date August 31, 1999 (1 page)
	A8	Patent Abstracts of Japan, publication no. 05-226258, publication date September 3, 1993 (1 page)

EXAMINER

/Rudy Zervigon/ (03/29/2009)

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*EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY DOCKET NO.

12088/019001

APPLICATION NO.

107500317

Shinichi KAWASKI, et al

FILING

[Herewith]

GROUP ART

2-8 JUN 2004

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	2003-249492	09/05/2003	Japan	H01L	21/31		✓
	B2	11-236676	08/31/1999	Japan	C23C	16/50		✓
	B3	5-226258	09/03/1993	Japan	H01L	21/205		✓
	B4	11-251304 **same as A1**	09/17/1999	Japan	H01L	21/3065		✓
	B5	3-248415	11/06/1991	Japan	H01L	21/205		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	B6	Patent Abstracts of Japan, publication no. 11-251304, publication date September 17, 1999 (1 page)
	B7	Patent Abstracts of Japan, publication no. 03-248415, publication date November 6, 1991 (1 page)

EXAMINER

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